PTO/SB/21 (08-00) Approved for use through 10/31/2002: OMB 0651-0031 /pe a plus sign (+) inside this box -U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE nder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Application Number 09/444.281 TRANSMITTAL Filing Date November 19, 1999 **FORM** First Named Inventor Jan Burian 1653 **Group Art Unit** (To be used for all correspondence after initial filing) MAY 2 1 2001 **Examiner Name** Stephen Tu Attorney Docket No. 660081.411° TECH CENTER 1600/2900 ENCLOSURES (check all that apply) CD(s), Number Fee Transmittal Form **Assignment Papers** (for an Application) of CD(s) Fee Attached Drawing(s) Amendment/Response After Allowance Request for Corrected Filing Communication to Group After Final Receipt Appeal Communication to Affidavits/declaration(s) Licensing-related Papers Board of Appeals and **Extension of Time Request** Interferences Petition **Express Abandonment** Appeal Communication to Petition to Convert to a Request **Provisional Application** Group (Appeal Notice, Brief, Supplemental Information Reply Brief) Power of Attorney, Disclosure Statement; Form **Proprietary Information** Revocation, Change of PTO-1449 Correspondence Address Status Letter Cited References (2) Declaration Return Postcard Certified Copy of Priority Statement under 37 CFR Document(s) Additional Enclosure(s) 3.73(b)(please identify below): Response to Missing Parts Terminal Disclaimer under 37 C.F.R. 1.52 or 1.53 Small Entity Statement Response to Missing Parts/Incomplete Application Request for Refund Remarks SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Individual Name Jeffrey C. Pepe, Ph.D. Seed Intellectual Property Law Group PLLC 701 Fifth Avenue, Suite 6300 Seattle, Washington 98104-7092 Phone: (206) 622-4900 PATENT TRADEMARK OFFICE Fax: (206) 682-6031 Signature Date **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date specified below. Typed or printed name Nathan Adderson

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Signature





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE FICEIVED

MAY 2 1 2001

Applicants

: Jan Burian and Daniel Bartfeld

Application No.

09/444,281

TECH CENTER 1600/2900

Filed

: November 19, 1999

For

EFFICIENT METHODS FOR PRODUCING ANTIMICROBIAL

CATIONIC PEPTIDES IN HOST CELLS

Examiner

: Stephen Tu

Art Unit

: 1653

Docket No.

: 660081.411

Commissioner for Patents Washington, DC 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449 (copies of the cited references, as required under 37 C.F.R. § 1.98, are enclosed). As to any reference supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

These documents were cited in a Preliminary Examination Report for corresponding application PCT/CA99/01107, mailed February 28, 2001, a copy of which is enclosed.

Please acknowledge receipt of this Supplemental Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,
Seed Intellectual Property Law Group PLLC

Jeffrey C. Pepe, Ph. L

Registration No. 46,985

JCP:nka

Enclosures:

Postcard Form PTO/SB/21 Copy of Preliminary Examination Report Form PTO-1449 Cited References (2)

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